

Security Compliance Program (as of March 2023)

The Aptitude Software Compliance program helps communicate our information security posture and controls in place to maintain security and compliance for our services. Information Technology and Security standards we comply with include Certifications, Attestations, Laws/Regulations and Framework Alignments.

Certifications and Attestations are assessed by third-party, independent auditors and result in a certification, an audit report, or an attestation of compliance. Aptitude Software services clients remain responsible for complying with applicable laws, regulations, and privacy programs. Existing clients (and service users) can obtain compliance reports by contacting us at information-security@aptitudesoftware.com.

Table below provides a summary of Aptitude Software Compliance Programs and relevant products and services.

Compliance Program	Program Name	Relevant Products and Services	Program Details and Notes
Attestations	System and Organization Controls (SOC) 1	• AREV ¹ and ALAE	• Type II Report issued 2 times a year for 12-month periods ending November and May for the year (each report covers 12 months)
		• eSuite	• Annual Type II Report for 12-month period ending in November (issued in January)
		• AAH SaaS	• Annual Type II Report for 12-month period ending in November (issued in January)
		• Fynapse	• First Type 1 report planned for May 2023
	System and Organization Controls (SOC) 2 covering Security and Availability Criteria	• AREV, ALAE, eSuite and AAH SaaS Cloud Services	• Annual report for 'Aptitude Cloud Services' for period ending in November (report issued in January)
Certifications	Payment Card Industry Data Security Standard (PCI DSS) Level 1	• eSuite ²	• Attestation of Compliance available in August
	International Organization for Standardization (ISO) 27001 Certification	• Cloud Services - AREV, ALAE, eSuite, AAH SaaS • Products – AREV, ALAE, AAH, AICE, AC, Aptitude Platform and ARRE	• Year 1 Certification issued in February 2023
Frameworks	ISO 22301 Framework	• Cloud Services – Alignment Only	• Covers Business Continuity Management
	Open Worldwide Application Security Project (OWASP) Application Security Verification Standard (ASVS)	• All products and services	• Covers Application Security Testing
Privacy Regulation	General Data Protection Regulation (GDPR)	• eSuite	• Other services do not process personal data.
	California Consumer Privacy Act (CCPA)	• eSuite	• Other services do not process personal data.

¹ AREV -Aptitude RevStream, ARRE – Aptitude Revenue Recognition Engine, ALAE – Aptitude Lease Accounting Engine, AICE – Aptitude Insurance Calculation Engine, AC – Aptitude Calculate, AAH – Aptitude Accounting Hub

² For Aptitude eSuite only – other products/services do not handle Payment Card Data.